

END OF SEMESTER EXAMINATIONS, NOVEMBER – 2017
CAPITAL MARKET AND SECURITIES LAWS
SUBJECT CODE:15UAPA16

MAJOR: B.COM (PA)
TIME : 3 HOURS

SEMESTER : V
MAX. MARKS: 75

SECTION – A (10 x 1 = 10)

Answer ALL the Questions

1. Define Money Market.
2. Define Treasury bill.
3. What is Capital Market?
4. Write a short note on New Issue Market.
5. What is On-Line Trading?
6. Define Sweat equity.
7. What is Primary Market?
8. Define Securities Market.
9. Define underwriters.
10. What is Custodians?

SECTION – B (5 x 4 = 20)

Answer any FIVE Questions

11. Briefly explain the Growth of Money Market in India.
12. State the overview of Depository System in India.
13. What are the features of Developed Capital Market?
14. Briefly explain the Membership rules of Stock Exchange.
15. State the types of Setting up of Depository.
16. What are the Role of Secondary markets Intermediaries?
17. Briefly explain the functions of Portfolio Manager.
18. Explain the different types of Credit Rating.

SECTION – C (3 x 15 = 45)

Answer any THREE Questions

19. Explain the functions of Factoring.
20. Discuss about Authorities Governing Capital Market in India.
21. Discuss about Various Role of BSE.
22. Explain the Power and Duties of SEBI.
23. Explain the Merits and Demerits of Venture Capital.

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